

FORM PTO 1449 (modified)			ATTY DOCKET NO. 1702.401600	APPLICATION NO. 09/674,913							
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)			APPLICANT GUSTAV GAUDERNACK, ET AL.								
(Use several sheets if necessary) Dated: February 8, 2001 Nat'l. Entry From: PCT/NO99/00141			FILING DATE Novemb r 8, 2000		GROUP K47 Unassigned						
			U.S. PATENT DOCUMENTS								
'EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE					
			FEB 0 8 200 - 50								
			10 S S S								
			TRACEMAN OF								
			FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT					
CON	WO 98/45322	10/1998	WIPO			¥ •					
CD1) -	WO 97/12992	4/1997	WIPO			Yo					
42	WO 95/32731	12/1995	WIPO			Yes					
C2V	WO 92/14756	9/1992	WIPO			Ye					
CD) -	WO 99/58552	11/1999	WIPO			Yes					
	OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)										
van Leeuwen et al., (1998, January), Science 279:242-247, "Frameshift Mutants of ß Amyloid Precursor Protein and Ubiquitin-B in Alzheimer's and Down Patients"											
CD)_	Vogel, (1998), Se	Vogel, (1998), Science 279:174, "Possible New Cause of Alzheimer's Disease Found"									
C30-	Gaudernack, (1996), Immunotechnology 2:3-9, "T cell responses against mutant <i>ras</i> : a basis for novel cancer vaccines"										
(I)		Gjertsen et al. (1996), Int. Journal of Cancer 65:450-453, "Ex Vivo ras Peptide Vaccination in Patients with Advanced Pancreatic Cancer: Results of a Phase I/II Study"									
632	Gjertsen et al. (1997), Int. J. Cancer 72:784-790, "Cytotoxic CD4* and CD8* T-Lymphocyt s, Generated by Mutant p21- <i>ras</i> (12Val) Peptide Vaccination of a Patient, Recognize Tumour Cells Carrying This Mutation"										
C30 -	Gjertsen et al. (1998) Vox Sanguinis 74(suppl. 2): 489-495, "Mutated Ras Peptides as Vaccines in Immunotherapy of Cancer"										
EXAMINER Glieb DATE CONSIDERED 11/20/2002											

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 2

JWB/NMO/lip

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK PROFICE LEST OF REFERENCES (CITED BY APPLICANTIS) (Use several sheets if necessary) Data: February 8, 2001 Natl. Entry From: PCTMOSendor41 U.S. PATENT DOCUMENT INITIAL DOCUMENT NUMBER DATE FOREIGN PATENT DOCUMENTS CLASS SUBCLASS FILING FEB 0 8 2001 FOREIGN PATENT DOCUMENTS CLASS SUBCLASS TRANSL	·										
PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several cheels if necessary) Date: Rephysics 2000 Nat'l Emby From: PCTNO9900141 U.S. PATENT DOCUMENTS PER 0 8 2001 FEB 0 8 2001 FEB 0 8 2001 FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DOCUMENT DATE CLASS SUBCLASS FRANCE FEB 0 8 2001 FOREIGN PATENT DOCUMENTS CLASS SUBCLASS TRANSL YESPRO OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) Terry et al., Alzheimer Disease: Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone': How MHC B Peptides'." Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto. T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA	PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 1702.401600	APPLICATION NO. 09/674,913						
(Use several sheets if necessary) Date: February 2, 2001 NATI. Entry From: PCTRN09900141 U.S. PATENT DOCUMENTS "EXAMINER NITIAL DOCUMENT NUMBER DATE NAME O											
Nov mber 8, 2000 Unestigned U.S. PATENT DOCUMENTS TEXAMINER NUMBER DOCUMENT NUMBER TOTHER DOCUMENT(S) (including Aurhor, Tide, Date, Perlinent Pages, Etc.) Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone'': How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA			1118			144					
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitive Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone'': How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA						Unaccigned					
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone'': How MHC B Peptides'". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA	U.S. PATENT DOCUMENTS										
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone': How MHC B Peptides'". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA			DATE	NAME O 1 2 E	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone': How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA											
TRANSL DOCUMENT DATE COUNTRY CLASS SUBCLASS VESTOR ABST OR ABST OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitive Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone': How MHC Beptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytotomatics of the company of the compa											
DOCUMENT DATE COUNTRY CLASS SUBCLASS TRANSLY YES/ OR ABS: OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA				PAUFMATH							
DOCUMENT NUMBER OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone': How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA				OREIGN PATENT DOCUMENTS							
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA			DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT				
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA											
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA	·										
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA											
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA											
Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA											
Alterations in Alzheimer Disease". Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC B Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA		0	THER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)			<u>, , , , , , , , , , , , , , , , , , , </u>				
Peptides". Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytoto T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA	CSN	Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease".									
T lymphocytes with synthetic lipopeptide vaccine". Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA	9		Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone": How MHC Binds Peptides".								
Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA more than just a blueprint".	120-	Deres et al., Nat T lymphocytes	Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytotoxic T lymphocytes with synthetic lipopeptide vaccine".								
	COL	Tighe et al., Imm	Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA is more than just a blueprint".								
EXAMINER Glieber DATE CONSIDERED 11/20/2002	EXAMINER	Glieber	3	DATE CONSIDERED 1112012	woz.						

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809; Draw line through citation if not In conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

JWB/NMD/lip